

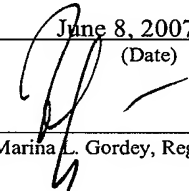
<b>Response to Missing Requirements Under 35 USC § 371</b>	Attorney Docket No.: VANM309.001APC First Named Inventor: De Cupere et al. Int'l Application No.: PCT/EP2005/000556 US Application No.: 10/588,865 Entered National Phase: August 9, 2006 Title: PHTHALOCYANINE DERIVATIVES, THEIR USE AS HOMEOTROPICALLY ALIGNED LAYER IN ELECTRONIC DEVICES AND METHOD FOR THE MANUFACTURING THEREOF		
<table style="width: 100%;"> <tr> <td style="width: 70%;"> <b>Direct all correspondence to Customer No.: 20995</b> </td> <td style="width: 30%; text-align: right;">         Date: June 8, 2007          Page 1 of 2       </td> </tr> </table>		<b>Direct all correspondence to Customer No.: 20995</b>	Date: June 8, 2007 Page 1 of 2
<b>Direct all correspondence to Customer No.: 20995</b>	Date: June 8, 2007 Page 1 of 2		

**Mail Stop PCT**

United States Patent and Trademark Office  
 PO Box 1450  
 Alexandria, VA 22313-1450

**CERTIFICATE OF EFS WEB TRANSMISSION**

I hereby certify that this correspondence, and any other attachment noted on the automated Acknowledgement Receipt, is being transmitted from within the Pacific Time zone to the Commissioner for Patents via the EFS Web server on:

  
 June 8, 2007  
 (Date)  
 Marina L. Gordey, Reg. No. 52,950

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- (X) Copy of the Notification of Missing Requirements under 35 USC 371 dated April 10, 2007.
- (X) An Oath or Declaration signed by the inventors (35 USC 371(c)(4)) in 4 pages.
- (X) Power of attorney form and copy of assignment in 4 pages.
- (X) English translation of International Preliminary Report on Patentability
- (X) Information Disclosure Statement and Form PTO/SB/08 Equivalent in 3 total pages (IDS and 1449).
  - (13) references
- (X) Return prepaid postcard.

**Response to Missing  
Requirements Under  
35 USC § 371**

Attorney Docket No.: VANM309.001APC  
First Named Inventor: De Cupere et al.  
Int'l Application No.: PCT/EP2005/000556  
US Application No.: 10/588,865  
Entered National Phase: August 9, 2006  
Title: PHTHALOCYANINE DERIVATIVES, THEIR USE AS  
HOMEOTROPICALLY ALIGNED LAYER IN  
ELECTRONIC DEVICES AND METHOD FOR THE  
MANUFACTURING THEREOF

**Direct all correspondence to Customer No.: 20995**

Date: June 8, 2007

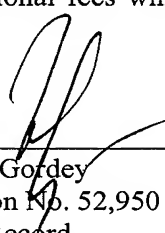
Page 2 of 2

**FILING FEES NOT YET PAID:**

FEE CALCULATION				
FEE TYPE		LARGE FEE	CALCULATION	TOTAL
Late Oath/Decl.	37 CFR § 1.492(h)	1617 (\$130)		\$130
Excess Claims	9 - 20 = 0	1615 (\$50)	0 x 50 =	\$0
Excess Ind. Claims	1 - 3 = 0	1614 (\$200)	0 x 200 =	\$0
Multiple Claim	37 CFR § 1.492(f)	1616 (\$360)		\$0
			<b>SUB TOTAL</b>	<b>\$130</b>
			<b>TOTAL FEE DUE</b>	<b>\$130</b>

(X) A check in the amount of **\$130** is enclosed to cover the above fees.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.

  
\_\_\_\_\_  
Marina L. Gordey  
Registration No. 52,950  
Agent of Record  
Customer No. 20,995  
(805) 547-5580



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/588,865	Vinciane de Cupere	VANM309.001APC

INTERNATIONAL APPLICATION NO.
-------------------------------

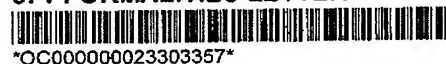
PCT/EP05/00556

I.A. FILING DATE	PRIORITY DATE
01/18/2005	02/10/2004

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 2040 MAIN STREET  
 FOURTEENTH FLOOR  
 IRVINE, CA 92614

CONFIRMATION NO. 4095

371 FORMALITIES LETTER



\*OC000000023303357\*

Date Mailed: 04/10/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/09/2006
- Copy of the International Search Report filed on 08/09/2006
- Preliminary Amendments filed on 08/09/2006
- U.S. Basic National Fees filed on 08/09/2006
- Priority Documents filed on 08/09/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,**

**WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebsc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/588,865	PCT/EP05/00556	VANM309.001APC